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# **PATENT APPLICATION**

The Commissioner of Patents and Trademarks  
BOX MISSING PARTS  
Washington, D. C. 20231

Sir:

In response to "Notice to File Missing Parts of Nonprovisional  
Application" in connection with the following US patent  
application:

Applicant(s): **Jesse S. Lerman et al.**

Title of application: **METHOD FOR REGENERATING AND STREAMING  
CONTENT FROM A VIDEO SERVER USING RAID 5  
DATA STRIPING**

Serial No.: **09/996,074**

Filed: **November 28, 2001**

Group Art Unit: **2185**

Attorney Docket No.: **DIVA/305**

We enclose the following material herewith:

☒ Declaration;  
☒ Power of Attorney;  
☒ Applicant is a small entity;  
☐ Verified English translation of the application;  
☐ Our check in the amount of \$ \_\_\_\_\_;  
☒ Copy of Notice to File Missing Parts of Nonprovisional Patent  
Application issued in connection with this application.  
☒ A claim to priority:  
☐ Priority Document;  
☒ Other: **Assignment to DIVA Systems Corporation  
with Recordation Cover Sheet**  
☐ Other: \_\_\_\_\_

Formal drawings were submitted on December 18, 2001.

The Commissioner is authorized to charge the application filing fee in the amount of **\$370**, the additional claims filing fee in the amount of **\$63**, the surcharge under 37 CFR 1.16(e) in the amount of **\$65**, and the assignment recordation fee in the amount of **\$40** to Deposit Account No. 50-1316. To facilitate the charge, a duplicate copy of this letter is also enclosed. Unless otherwise advised of a change, during the pendency of this application, please charge any underpayment of fee or credit any overpayment of fee to Deposit Account No. 50-1316.

Please issue a corrected filing receipt as appropriate. A Request for Corrected Filing Receipt accompanies this submission.

Respectfully submitted,

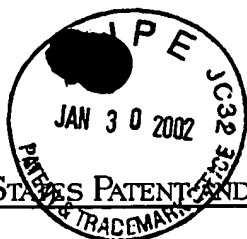


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Dated: 1/7/02

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/996,074	11/28/2001	Jesse S. Lerman	DIVA/305

CONFIRMATION NO. 1112

## FORMALITIES LETTER



\*OC00000007186307\*

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Date Mailed: 12/12/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$63.
  - \$63 for 7 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 498.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

02/05/2002 ADSMAN1 00000025 501316 09996074

01 FC:201	370.00 CH
02 FC:203	63.00 CH
03 FC:205	65.00 CH

*A copy of this notice MUST be returned with the reply.*



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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE